

U.S. Department of State
REQUEST FOR AN ADVISORY OPINION
INSTRUCTIONS

General Notes:

- “ITAR” refers to the International Traffic in Arms Regulations (22 CFR Parts 120-130).
- This form may only be used for requests for advisory opinions and related authorizations pursuant to ITAR §126.9 and §129.9.
- For assistance in completing this form, contact the DDTC Response Team at 202-663-1282 or by e-mail at DDTCResponseTeam@state.gov.

Block 1: Applicant Information

- Provide your registration code or mark “Not registered with PM/DDTC.” If the applicant is not registered with PM/DDTC, the applicant must complete all of the applicant information fields.

Block 2: Points of Contact (POC) Information

- Provide the point of contact type and contact information. Multiple points of contact are permitted on this request form.

Block 3: Advisory Opinion Information

- Indicate whether the request is being made pursuant to ITAR section 126.9 (advisory opinions) or section 129.9 (brokering guidance), then indicate the appropriate ITAR subparagraph.
- *If the request is being submitted pursuant to ITAR 126.9 (c) or 129.9(c):*
 - Enter the details of the request in the field marked, “Provide the details of the request: (unlimited characters).”
 - The remainder of the fields in block 3 are optional; however, DDTC recommends providing the optional information to make it easier for DDTC to provide the requested interpretation or guidance. .
- *If the request is being submitted pursuant to 126.9(a):*
 - Enter the details of the request in the field marked, “Provide the details of the request: (unlimited characters).” If relevant, the request must outline in detail:
 - the equipment and its usage;
 - the security classification (if any) of the articles or related technical data;
 - and the country or countries involved.
 - The remainder of the fields in block 3 are optional; however, DDTC recommends providing the optional information to make it easier for DDTC to provide a preliminary authorization determination.
- *If the request is being submitted pursuant to 129.9(a):*
 - The field marked “Provide the details of the request: (unlimited characters)” is optional.

- Provide the remainder of the information requested in block three, including:
 - A description of the specific activities to be undertaken by the applicant and any other U.S. or foreign person;
 - Information concerning all U.S. and foreign persons who may participate in the activities;
 - A description of each defense article or defense service that may be involved; and
 - A description of the end-use of each defense article or defense service that may be involved.
- If, at the time of submission, certain information is not yet available, this circumstance must be stated and explained. DDTC will take the completeness of the information into account in providing guidance on whether the activities constitute brokering activities. Respondents may upload this explanation as “Other” in block 4.

Block 4: Supporting Documentation

- Upload necessary supporting documentation.
- *If the request is being submitted pursuant to 129.9(a), please include a copy of any agreement or documentation, if available, between or among the requestor and other persons who will be involved in the activity or related transactions that describes the activity to be taken by such persons.*