Defense Trade Controls Compliance

Director of Compliance

Senior Compliance Advisor

Office Management Specialist

Chief

Compliance, Registration, & Enforcement Division

Team 1

- Compliance and enforcement policies and procedures
- Intra-DDTC and internal DTCC SOPs
- IT coordination (e-registration, etc.)
- Senior liaison with law enforcement

Team 2

- Company Visit program
- Mergers and acquisitions
- CFIUS (PM lead)
- Section 3 reporting

Team 3

- Voluntary and directed disclosures
- Denials, reinstatements, policy exceptions
- Civil enforcement actions: charging letters, consent agreement, agreement monitoring, debarment
- Support to the law enforcement on criminal matters

Team 4

- Voluntary and directed disclosures
- Denials, reinstatements, policy exceptions
- Civil enforcement actions: charging letters, consent agreement, agreement monitoring, debarment
- Support to the law enforcement on criminal matters

Team 5

- Registration of manufacturers, exporters and brokers
- Registration fee collection